

Strategic & Programme Risk Assessment



ESG
Gaming

Item	Risk	Consequences	Risk Status	Current Controls	Risk Owner	RAG Rating	Likelihood	Impact (1-5)	Total Score
Governance									
G1	Board fails to operate effectively.	1. Damage to reputation. 2. Potential legal action. 3. Damage to individual's reputation.	Open Managed	Oct 2023 update: Long standing board in place. Inviting external scrutiny by accreditation or awarding bodies. Board behavioural framework and appraisal in place.	Board	Low	1	4	4
G2	Lack of board diversity.	1. Potential in reduced strategic horizon scanning. 2. Board potentially not reflective of customer base. 3. Potential unconionous bias in decision making.	Open Managed	Oct 2023 update: Board is currently too white, but does have a board mix of age, race, sexuality, educational background and professional qualifications. Board have agreed to keep under review as organisation matures. NEW ITEM (Oct 23): Vanguard Programme will accelerate progress	Board	Medium	2	5	10
Financial									
F1	Income volatility.	1. Executive Chair self-funding ESG Gaming. 2. Impacts ability to deliver Enabling Plan. 3. Limits ability to plan in short / medium term.	Open Managed	Oct 2023: Live funding pipeline consistently updated with work in progress. Seek multi-year grants where possible. NEW ITEM (Oct 23) GA SSF decision pending. Appealing current decision, will publish all GA papers on ESG Gaming website as news story, to follow up previous news story around transparcy	Board	High	3	5	15

F2	Inaccurate and / or late statutory reporting.	1. Lack of confidence in financial reporting. 2. Poor governance record keeping on Public Information sites. 3. Loss of trust with supporters.	Open Managed	Oct 2023: Crowe UK LLP were appointed accountants on a three year-term at 2022 AGM. Crowe UK LLP complete year-end statutory reports. Crowe UK LLP have access to ESG Gaming Xero and are appointed as officers with HMRC. NEW ITEM (Oct 23) - filing completed 7 months in advance - no action to Apr 25	Board	Low	1	1	1
F3	Losing funds.	1. Bank default (over £85k) due to the present economic climate. 2. Internal or external fraud.	Open Managed	Oct 2023 update: Savings account established for cash over £85k Government Guarantee. Financial Controls in place and all Board has access to Xero for realtime financial reporting.	Board	Low	1	5	5
F4	Loss of key records data.	1. Reputational risk. 2. Loss of auditable records. 3. Breach of GDPR or ICO guidance. 4. Inaccurate or late statutory filing.	Open Managed	Oct 2023 update: ESG Gaming use cloud systems for finance and general filing which are backed-up by supplier (Xero, Microsoft, Salesforce etc). No data stored on any stand-alone machines and no data sticks used.	Board	Low	1	2	2
Programme & Operations									
O1	Key staff dependency (i.e. Executive Chair).	1. Lack of ability to deliver mission. 2. Potential winding up of organisation and the returning of all funding.	Open Managed	Oct 2023 update: Accepted risk as nature of start-up. Board have agreed to recruit Chief Executive, Director of Fundraising and Directors of Programmes as funding allows. NEW ITEM (Oct 23) - 10% finders fee in place to support FR activity in absence of Fundraiser.	Board	Low	1	5	5
O2	Academic partner withdraws from research projects.	1. Keep funders and supports upto date with any planned changes. 2. Lack of continuity in projects.	Open Managed	Oct 2023 update: SLAs in place with research partners. Regular partners meetings.	Board	Low	1	5	5

O3	Delivery partners withdraw from operational delivery.	1. Keep funders and supports upto date with any planned changes. 2. Lack of continuity in projects.	Open managed	Oct 2023 update: SLAs in place with delivery partners. Regular partner meetings. Undertaken scoping research.	Board	Low	1	5	5
O4	Web3 project hosted on wrong platform.	1. Keep funders and supports upto date with any planned changes. 2. Lack of continuity in projects. 3. Rebuild of web3 platform would be costly and time-consuming.	Open Managed	Oct 2023 update: SLAs in place with delivery partners. Regular partner meetings. Undertaken scoping research.	Board	Medium	2	5	10
O5	Not being Carbon Nutural	1. Contrubute to CO2 emmissions.	Open Managed	Oct 2023: Joined Ecologi (workforce Pledge) to help us understand, monitor and Off-Set	Board	Low	1	2	2

This assessment is reviewed & updated following each board meeting: Correct as of 09 October 2023. Review date 07 November 2023 at board meeting
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